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Dissociated Effects on Supine and Non-Supine AHI

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## **Dose-response Relationship between Mandibular Advancement and OSA Burden: Dissociated Effects on Supine and Non-Supine AHI**

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**Ethics**

The study was approved by the Comité d’Ethique Hospitalo-Facultaire-Universitaire de Liège (IRB #00004890), and all participants provided written informed consent.

### **Author contributions**

JBM and NNLD designed the study.

JBM and DC conducted the research procedure and had full access to all study data.

JBM, NNLD, PAC, AF and JLP performed data analysis and interpretation.

JBM, NNLD, CC and AF prepared the first draft of the manuscript.

DC, PAC and JLP reviewed and edited the final manuscript.

All authors made the decision to submit the manuscript for publication and assume responsibility for the accuracy and completeness of the analyses and for the fidelity of this report to the study protocol.

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# 1 Dose-response Relationship between Mandibular Advancement and OSA

## 2 Burden: Dissociated Effects on Supine and Non-Supine AHI

### 3 Abstract

4 **Background and Objective:** A key unresolved mechanistic question in obstructive sleep  
5 apnea (OSA) therapy with mandibular advancement devices (MAD) is how incremental  
6 advancement affects position-specific disease burden.

7 This study aimed to evaluate the dynamic changes in apnea-hypopnea index (AHI) in  
8 different body positions in response to stepwise mandibular advancements, using the  
9 mandibular jaw movement (MJM)-based monitoring technology.

10 **Methods:** A prospective cohort study was conducted in OSA patients eligible for MAD  
11 therapy with a standardized titration protocol. Home sleep tests with MJM analysis were  
12 performed at baseline, and three successive advancement levels (initial, intermediate, and  
13 maximal). Regression analysis with adjustments for time-varying confounding factors was  
14 applied to estimate the adjusted changes in residual AHI in supine and non-supine positions.

15 **Results:** Ninety-six patients completed titration and follow-up. MAD titration did not  
16 significantly modify supine sleep time. Relative to baseline, early and significant improvements  
17 were observed for both supine and non-supine AHI at the initial advancement level (relative  
18 reduction of -60.1% [95% CI: -67.9; -52.2] and -55.0% [95% CI: -61.1; -48.9], respectively).  
19 Thereafter, AHI responses diverged: supine AHI continued a progressive reduction through to  
20 end of titration (mean cumulative change: -78.4% [95% CI: -82.7; -74.2]), whereas non-supine  
21 AHI showed little additional change beyond the initial improvement (mean cumulative change:  
22 -63.3% [95% CI: -68.2; -58.3]). Positional OSA prevalence was reduced from 38.5% at baseline  
23 to 18.3% at endpoint.

24 **Conclusions:** These findings underscore the value of continuous, home-based  
25 monitoring of position-specific residual AHI during MAD titration, and support integrating  
26 MJM analysis into MAD therapy workflows.

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- 1 **Keywords:** obstructive sleep apnea, oral appliance therapy, mandibular advancement
- 2 device, positional OSA, mandibular jaw movement
- 3

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## 2 INTRODUCTION

3 Mandibular advancement devices (MADs) are currently recommended as an  
4 alternative treatment for patients with obstructive sleep apnea (OSA), with clinical  
5 effectiveness comparable to positive airway pressure (PAP) therapy [1,2]. However, unlike PAP,  
6 which provides a position-independent pneumatic splint of the upper airway (UA), the  
7 stabilizing effect of MADs may be inherently posture-dependent. During supine sleep,  
8 gravitational forces promote posterior displacement of UA structures, increasing the collapsing  
9 load on the pharynx [3]. Accordingly, maintaining UA patency in the supine posture may  
10 require a greater mandibular advancement, underscoring the importance of precisely  
11 characterizing position-specific residual AHI.

12 Indeed, studies focusing on positional obstructive sleep apnea (POSA) report an  
13 attenuated response in patients with baseline POSA [4], with residual apnea–hypopnea events  
14 predominantly occurring during supine sleep [5]. Persistent residual POSA has been observed  
15 in a substantial proportion of treated patients [6,7], and in some cases MAD therapy may even  
16 induce a shift toward a supine-dependent phenotype [5,6].

17 A central unresolved mechanistic question is how incremental mandibular  
18 advancement progressively modulates position-dependent OSA burden over time, and  
19 whether this dose-response trajectory differs between supine and non-supine AHI. Because  
20 most POSA-focused studies reduce this dynamic process to a simple pre-post comparison,  
21 dose-response dynamics and posture-specific AHI response patterns remain poorly  
22 characterized. A multi-level, repeated-measures titration framework is therefore needed to  
23 address this gap.

24 In addition, in-laboratory testing may significantly alter patients' habitual sleep  
25 posture, increasing supine exposure due to unfamiliar environment and extensive wiring [8,9].  
26 By contrast, unobtrusive and simplified home sleep tests (HSTs) better preserve habitual  
27 sleeping conditions, thereby providing a more accurate representation of real-life positional  
28 vulnerability [10].

29 Recently, a novel mandibular jaw movement (MJM)-based monitoring approach has  
30 been clinically validated as a reliable and effective solution for home-based monitoring during

1 MAD titration [11]. In a subsequent study, repeated at-home MJM assessments further  
2 enabled characterization of a dose-response relationship, with AHI improving as mandibular  
3 advancement levels increased [12]. Beyond its core function of capturing MJM for sleep  
4 staging and respiratory event detection [13], the MJM sensor's built-in accelerometer allows  
5 continuous real-time monitoring of sleep posture [14]. This enables posture-stratified  
6 quantification of sleep time and apnea-hypopnea burden (supine versus non-supine), making  
7 MJM-based analysis well suited for home-based monitoring of position-specific AHI reduction  
8 during MAD titration.

9 This study aims to determine the dynamic changes in position-specific residual AHI  
10 across stepwise mandibular advancements at home, using MJM-based monitoring.

## 11 **METHODS**

### 12 **Study design and participants**

13 This prospective cohort study enrolled consecutive adults with a confirmed OSA  
14 diagnosis who were eligible for MAD therapy and consented to a longitudinal follow-up  
15 program with repeated home-based measurements at successive mandibular advancement  
16 levels. Participants were recruited at the sleep laboratory of a university hospital [...] between  
17 January 2021 and December 2022. The protocol was approved by the Comité d'Ethique  
18 Hospitalo-Facultaire-Universitaire [...], and all participants provided written informed consent.  
19 Detailed eligibility criteria are provided in the Supplementary Methods (Section 1), and the  
20 study workflow is summarized in Figure 1.

### 21 **OSA diagnosis**

22 OSA diagnosis was confirmed using in-laboratory polysomnography (PSG)  
23 (Somnoscreen Plus, Somnomedics, Randersacker, Germany), scored by two trained  
24 technicians according to American Academy of Sleep Medicine (AASM) criteria, applying the  
25 recommended apnea and hypopnea definitions [15,16]. Final diagnosis and severity grading  
26 were determined following the International Classification of Sleep Disorders, 3<sup>rd</sup> edition  
27 (ICSD-3) [17].

28

## 1 **MAD titration protocol**

2 MAD therapy was offered to patients with PSG-confirmed OSA, in accordance with the  
3 AASM joint guideline on MAD therapy [1]. Patients were excluded if they had significant  
4 cardiovascular or metabolic comorbidities, severe daytime sleepiness, safety-critical driving  
5 occupations, or compromised stomatognathic conditions (fewer than eight teeth per arch,  
6 temporomandibular disorder, or periodontitis).

7 Treatment was performed using a two-piece, custom-made, adjustable MAD (NOA;  
8 OrthoApnea, Málaga, Spain) (further details are provided in Section 2 of the Supplemental  
9 Methodology).

10 For each patient, the maximal protrusion range (MPR) was determined, as the distance  
11 (in millimeters) between the mandibular positions of maximal retrusion and voluntary  
12 maximal protrusion. At initiation, the device was uniformly set at 60% of the MPR for all  
13 patients. At each titration step, patients self-assessed their clinical status through telephone  
14 interviews and digital surveys, collecting information on sleep satisfaction, residual sleepiness,  
15 snoring (as reported by the bed partner), and adverse events. Under the supervision of a sleep-  
16 specialized dentist, the MAD was advanced in 1-mm or 2-mm increments at successive steps,  
17 based on persistence or worsening of OSA symptoms and patient tolerability.

18 During the titration and follow-up period, MAD therapy was the only OSA-directed  
19 intervention, with no additional medications or adjunctive treatments intended to modify OSA  
20 severity.

## 21 **Multiple at-home assessments of treatment response**

22 Over the 6-month study period, each patient underwent single-night, home-based  
23 sleep testing at four time points corresponding to incremental mandibular advancement levels  
24 (Figure 1): 1) baseline, 2) initial advancement (60% of MPR), 3) intermediate advancement (+1  
25 or +2 mm beyond the starting point), and 4) final advancement (an additional 1mm beyond  
26 the intermediate level). This design enabled the evaluation of residual, position-dependent  
27 OSA burden at each therapeutic level. Assessments were performed only after patients had  
28 reached a stable condition, defined as maintaining the target advancement level for at least  
29 10–15 days without discomfort. Importantly, test results were not disclosed to the treating  
30 physician and were not used to guide titration. Each evaluation was conducted at home under

1 naturalistic sleep conditions using MJM-based monitoring (Sunrise, Namur, Belgium) to  
2 quantify position-specific clinical indices, including total sleep time (TST) proportions and  
3 residual AHI in supine and non-supine postures.

4 The operating mechanisms of MJM analysis are described in the Supplementary  
5 Methodology (Section 3). Briefly, MJM signals are captured using a lightweight, single-point  
6 sensor affixed to the patient's chin. The sensor's inertial measurement unit includes a  
7 gyroscope and an accelerometer, which respectively record the movement and position of the  
8 mandible across three axes. Using artificial intelligence, the system identifies specific MJM  
9 signal patterns associated with physiological or pathological events, such as sleep stages,  
10 arousals, and respiratory disturbances. Supine versus non-supine position is determined from  
11 the accelerometer signal (e-Figure 1). Combining these outputs enables position-specific  
12 estimation of TST proportions and AHI.

### 13 **Data analysis**

14 The R programming language [18] was used to perform data analysis and visualization.

15 **Sample size:** The dataset was derived from a previously published prospective study  
16 [11]. An *ad-hoc* analysis was conducted to assess whether the sample size was adequate to  
17 detect a significant dose-response relationship between incremental mandibular  
18 advancement and supine AHI, in line with the primary objective. This procedure is detailed in  
19 Section 4.2 of the Supplemental Methodology.

20 **Main analysis:** Baseline characteristics and titration data, including incremental  
21 protrusion levels, self-reported symptoms and tolerability outcomes were summarized by  
22 using descriptive statistics. Categorical variables were presented as proportions and  
23 quantitative variables as medians with interquartile range (IQR). Primary outcomes were  
24 supine and non-supine sleep time proportions, as well as supine and non-supine AHI.  
25 Positional (supine-dependent) OSA was defined as supine AHI at least twice the non-supine  
26 AHI, per the commonly used Cartwright criterion (1984) [19]. A full list of position-dependent  
27 outcomes and their definitions is provided in Section 4.1 of the Supplemental Methodology.

28 Average treatment effects across the four advancement levels on position-dependent  
29 outcomes were estimated using generalized linear mixed model (GLMM) regression analysis  
30 with appropriate probability distributions according to the outcome variables (zero-adjusted

1 Gamma for hourly indices, zero-inflated negative binomial for event counts, and beta  
2 distribution for sleep time proportions). The regression analysis also implemented a  
3 generalized inverse probability treatment weighting (IPTW) process [20-24] to adjust for the  
4 time-varying confounding (detailed in Section 4.3 of the Supplemental Methodology). As an  
5 exploratory post-hoc analysis, sex-specific conditional average marginal effects for the  
6 principal outcomes were estimated from regression models. These analyses were  
7 implemented using the `gamlss` [25] and `marginalEffects` [26] packages. Statistical inference was  
8 based on 95% confidence intervals (CIs) and two-sided Wald tests, with Benjamini-Hochberg  
9 adjustment for multiple comparisons and a significance threshold of  $p < 0.005$ .

## 10 RESULTS

### 11 Study population characteristics

12 A total of 96 OSA patients were included in the final analysis (Figure 1). Baseline  
13 demographic and clinical characteristics are detailed in Table 1. Participants were  
14 predominantly middle-aged adults with excess body weight and a male predominance. At  
15 diagnosis, OSA severity was heterogeneous, with most patients exhibiting moderate to severe  
16 disease. Subjective daytime sleepiness was frequently reported. Polysomnographic evaluation  
17 revealed a moderate to severe AHI (10<sup>th</sup>-90<sup>th</sup> percentile range: 18.6 to 34.6 events/h),  
18 accompanied by impaired sleep continuity and intermittent oxygen desaturation.

### 19 Baseline position-dependent OSA profile

20 Baseline assessments conducted under natural home-sleeping conditions showed  
21 relatively limited supine position exposure, accounting for a modest proportion of TST (5<sup>th</sup>-  
22 95<sup>th</sup> percentile: 6.8-37.9% of TST).

23 Despite a lower event density (median: 17.4 events/h), the non-supine position  
24 contributed more substantially to the overall apnea-hypopnea event burden (84 vs 35.5  
25 events). Conversely, the supine position was associated with a higher event density (median:  
26 20.3 events/h), resulting in a disproportionate contribution to the total event burden despite  
27 shorter exposure time.

1 A substantial subset of patients (38.5%) met Cartwright's criteria [19] for positional  
2 OSA, consistent with a mixed phenotype characterized by a clinically relevant positional  
3 component.

#### 4 **Progression of mandibular advancement during titration**

5 As summarized in e-Table 2, MAD titration followed a standardized stepwise protocol  
6 and demonstrated consistent tolerability. The MAD was applied to all patients at a uniform,  
7 conventional initial protrusion of 60% of MPR. At the intermediate step, mandibular  
8 advancement was increased to approximately 68% of MPR, with only one patient requiring  
9 treatment discontinuation. Thereafter, advancement was gradually and individually adjusted  
10 until subjective symptom resolution or attainment of a maximally comfortable position. At the  
11 final step, 93 patients achieved a median advancement of approximately 76% of MPR,  
12 corresponding to an absolute position of 9.8 mm. Across all titration levels, no clinically  
13 meaningful increase in adverse effects was observed. Patient-reported functional discomfort  
14 and oro-facial side effects remained low and stable throughout titration.

#### 15 **Supine sleep exposure across titration steps**

16 Overall, MAD titration did not produce a clinically meaningful change in supine sleep  
17 exposure, defined as the proportion of TST spent in the supine position (e-Table 3, Table 2, e-  
18 Figure 4). Relative to baseline, the initial protrusion was associated with a small reduction in  
19 supine sleep proportion (-4.3 %TST; 95% CI: -7.5 to -1.2). The proportion of supine sleep then  
20 returned toward baseline at the intermediate level (median: 18.1 %TST) and remained stable  
21 at the final level (median: 17.0 %TST), corresponding to a modest cumulative change from  
22 baseline (-3.3 %TST, 95% CI: -6.5 to -0.1). After adjustment for multiple comparisons, none of  
23 these pairwise contrasts for supine sleep proportion was statistically significant.

#### 24 **Dose-response pattern of residual position-specific AHI**

25 Data visualization (Figure 2) and model-based estimates (Table 2) revealed distinct  
26 trajectories of supine versus non-supine residual AHI across incremental mandibular  
27 advancement levels.

28 Relative to baseline, both supine and non-supine AHI improved early, substantially and  
29 to a similar extent at the initial protrusion (-60.1% [95% CI: -67.9 to -52.2] and -55.0% [95%

1 CI: -61.1 to -48.9], respectively). Thereafter, responses diverged. Supine AHI showed  
2 additional reduction beyond the initial protrusion, with mean cumulative reductions of -72.5%  
3 at the intermediate level and -78.4% at the final level. In contrast, non-supine AHI showed  
4 attenuated additional improvement despite further advancement (-16.0% from initial to  
5 intermediate, and -2.9% from intermediate to final protrusion). Correspondingly, residual  
6 apnea-hypopnea event counts decreased in both postural conditions but remained  
7 predominantly non-supine throughout titration (e-Table 4, e-Figure 5), indicating early and  
8 sustained suppression of supine-dependent events alongside a persisting non-supine residual  
9 burden.

10 Beyond the initial protrusion, the reduction in supine AHI exceeded the reduction  
11 observed in non-supine AHI. Accordingly, the supine-to-non-supine AHI ratio showed minimal  
12 change at the initial level (-0.1, corresponding to -3.6%) but decreased significantly from  
13 baseline at the intermediate and final titration levels (median ratios: 0.5 and 0.6; mean  
14 changes: -34.1% and -37.6%, respectively), with median values remaining below 1 (Figure 3).  
15 This divergence in response patterns was reflected in the response-rate curves across  
16 prespecified relative-change thresholds (Figure 4).

17 In an exploratory post-hoc analysis, we examined whether MAD titration effects on  
18 principal outcomes differed between female and male patients. Sex-specific conditioned  
19 average marginal effects were estimated from regression models incorporating a sex-by-MAD  
20 level interaction. Overall, the direction and magnitude of absolute changes in supine and non-  
21 supine AHI were similar in male and female patients, indicating no meaningful heterogeneity  
22 by sex (e-Table 5).

23

24

## 25 **DISCUSSION**

26 In this real-life OSA cohort studied under naturalistic home-sleep conditions, the main  
27 findings indicate that MAD therapy produced early and substantial improvements in AHI in  
28 both supine and non-supine positions. However, as titration progressed, a posture-specific,  
29 dose-dependent divergence emerged: supine AHI showed additional reduction beyond the  
30 initial protrusion, whereas the non-supine response attenuated after the initial protrusion and

1 showed little additional change thereafter. Notably, these effects were independent of changes  
2 in supine sleep time.

3 Prior experimental data have suggested a dose-dependent effect of mandibular  
4 advancement on UA collapsibility in OSA [27,28], and a clinical dose-response relationship has  
5 recently been reported for overall AHI [12]. However, to our knowledge, this study is the first  
6 to clinically characterize the dose-dependent patterns of MAD therapy on position-specific  
7 OSA, while clearly separating the observed improvements in residual respiratory event rate  
8 from random fluctuations in supine sleep time driven by postural behavior.

9 A key strength of this study is the implementation of a standardized MAD titration  
10 protocol with a uniform initial protrusion (60%), predefined, and clinically driven adjustment  
11 criteria, and multiple assessment points scheduled at relatively consistent intervals across  
12 participants. Clinical relevance is further enhanced by home-based measurements under  
13 natural sleep conditions, enabled by a compact sensor with AI-supported analysis, thereby  
14 minimizing measurement bias related to laboratory testing and observer-dependent scoring  
15 variability.

16 Beyond capturing detailed response trajectories across incremental MAD levels, our  
17 multiple-measurement approach mitigates major limitations of simple pre-post designs in  
18 prior MAD studies, including susceptibility to regression to the mean, night-to-night postural  
19 variability, and titration-related time-varying confounding. Our longitudinal framework also  
20 supports a more refined mechanistic interpretation of MAD-position interactions and posture-  
21 dependent UA collapsibility, yielding clinically actionable patterns rather than simple pre-post  
22 contrast.

23 This study has several limitations that should be acknowledged when interpreting the  
24 causal inference and clinical generalizability of the findings. First, the lack of a control or sham  
25 comparator may limit definitive causal attribution of the observed improvements to MAD  
26 alone, as regression to the mean, natural history, expectancy effects, and other contextual  
27 influences cannot be fully excluded despite the repeated-measures design and IPTW  
28 adjustment. Second, body weight and neck circumference were not reassessed during follow-  
29 up. Although major anthropometric changes were considered unlikely over the relatively short  
30 titration period in the absence of adjunctive weight-loss interventions, the lack of repeated

1 measurements may have limited our ability to account for these factors as potential time-  
2 varying confounders.

3         Additionally, because our findings were derived using a specific MAD design, they may  
4 not fully generalize to other appliances with different mechanical characteristics. Second, each  
5 MAD level was assessed only once, which may reduce the ability to separate true treatment  
6 effects from inter-night variability and to assess response stability at a given protrusion level.

7         Our cohort is comparable to previous oral appliance studies [5,6,29,30] in terms of  
8 demographic profile: a typical middle-aged, male-predominant population with moderate  
9 OSA. By intentionally including a mixed sample with both POSA and non-POSA phenotypes,  
10 the POSA prevalence in our study (38.5%) is lower than in POSA-enriched cohorts [5,6]  
11 (between 27 to 67.5%, depending on the definition applied). However, this approach is  
12 essential to achieve a balanced estimate of MAD effects across both supine and non-supine  
13 conditions, reducing the risk of overestimating supine-driven benefit in enriched POSA  
14 samples, and enhancing the external validity and clinical relevance of our findings for routine  
15 oral appliance prescribing in general OSA populations. We adopted the Cartwright definition  
16 [19] as it is the most widely used definition of POSA in clinical research and aligns best with  
17 our longitudinal design, which focuses primarily on respiratory event rates.

18         Our initial protrusion of 60% of MPR is consistent with prior POSA-specific protocols  
19 [30,31], and the intermediate advancement closely matches the 68% effective target reported  
20 using a remotely controlled mandibular titration approach, supporting its clinical relevance  
21 [32]. The therapeutic position achieved in our protocol is also comparable to the 75% maximal  
22 protrusion level reported in long-term comparative trials [30,33], which preserves efficacy  
23 while reducing adverse effects.

24         Our findings show early and significant responses in both supine and non-supine AHI  
25 at the starting point, which is consistent with prior observations of overall AHI [34]. However,  
26 early treatment response is not routinely reported in most previous studies [6,29,31],  
27 precluding direct cross-study comparison.

28         At the endpoint, the absolute reduction in supine AHI observed in our cohort falls  
29 within the range across four similar studies [5,6,29,31] (-20 to -36 events/h); however,  
30 cumulative outcomes may be influenced by heterogeneities in baseline severity and titration

1 protocols. Our observed relative effect on supine AHI (-78%) closely matches the post-  
2 treatment outcomes reported by Lee *et al.* (2012) [29] and Fransson *et al.* (2022) [5], but  
3 appears more favorable than the relative effects described by Dieltjens *et al.* (2014) [6] and  
4 Chung *et al.* (2010) [31]. Notably, because our cohort included a mixture of positional and non-  
5 positional phenotypes, we observed substantial improvements in both supine and non-supine  
6 AHI, differing from POSA-enriched cohorts where non-supine AHI often shows only modest  
7 relative reductions (32-49%), likely constrained by a floor-effect due to already low baseline  
8 non-supine AHI. The residual prevalence of POSA in our cohort declined to 18.3%, closely  
9 matching the residual POSA rates reported by Dieltjens *et al.* (2014) [6]. Taken together, these  
10 data suggest that MAD is effective for both supine and non-supine AHI; however, each appears  
11 to require a different degree of protrusion and has its own response limit.

12 Preferential and dose-responsive reductions in supine AHI with incremental  
13 mandibular advancement may be explained by posture-dependent UA mechanics. In the  
14 supine position, gravitational posterior tissue loading and reduced lung volume shift the  
15 pharynx toward a more collapsible operating point, increasing obstruction risk [3]. MAD  
16 counteracts this vulnerability by anteriorly displacing the mandible and tongue-hyoid complex,  
17 enlarging and stiffening the pharyngeal lumen, and lowering collapsibility [27,35]. Beyond  
18 structural effects, protrusion likely modifies the interaction between ventilatory control and  
19 airway mechanics, with dose-dependent reductions in collapsibility threshold and UA cross-  
20 sectional area [27,36]. By improving mechanical stability, MAD also reduces the need for  
21 compensatory dilator recruitment [37]. Within this framework, respiratory-phasic MJM can be  
22 interpreted as a respiratory drive-dependent marker of neuromuscular compensation,  
23 reflecting reflex UA dilator activation in response to increased airway load [38]. As effective  
24 titration reduces collapsing pressure, particularly in the supine posture where gravitational  
25 load is greatest, the compensatory drive and associated MJMs decline accordingly.

26 In contrast, the baseline collapsing load is lower in non-supine posture; once the  
27 anatomical component is mitigated, residual events are more influenced by non-anatomical  
28 traits (loop gain, arousal threshold, and limited dilator responsiveness) that MAD does not  
29 robustly modify [35, 39-41], explaining the limited additional benefit on non-supine AHI.

30 From a clinical perspective, these findings suggest that the effectiveness of MAD  
31 therapy should be assessed under naturalistic sleep conditions at home, with explicit attention

1 to position-dependent OSA burden. In this context, integrating MJM-based home monitoring  
2 into the therapy workflow offers a practical way to achieve repeatable, posture-stratified  
3 evaluation of residual AHI across multiple nights. This continuous feedback may support earlier  
4 phenotype confirmation, improved characterization of individual response patterns, and more  
5 adaptive clinical decision-making aligned with the dose-response trajectories observed during  
6 titration. In the absence of validated minimal clinically important difference (MCID) thresholds  
7 for positional AHI outcomes, a response pattern-centered approach may provide a more  
8 flexible framework for interpreting individual responses during MAD titration. For example,  
9 progressive improvement in AHI may support continued advancement until a comfort-limited  
10 position or a treatment target is reached. Conversely, little additional improvement may  
11 suggest that the therapeutic position has been reached; if the overall response remains  
12 suboptimal, it points toward the need for adjunctive strategies such as positional therapy,  
13 weight management, or nasal optimization. The distinct response patterns observed for supine  
14 and non-supine AHI also suggest that combining MAD with positional therapy could reduce  
15 the degree of protrusion required to achieve AHI normalization, supporting a more  
16 individualized treatment approach. An unstable response trajectory may suggest the influence  
17 of inter-night variability, adherence issues or behavioral factors. Finally, the absence of  
18 response or a declining efficacy trajectory may warrant deeper investigation into mechanisms  
19 of treatment failure or consideration of alternative treatments. Beyond respiratory indices,  
20 optimization of MAD therapy may also benefit coexisting sleep bruxism, as MAD treatment  
21 has been reported to reduce the frequency of sleep bruxism episodes in such patients [42].

22 This study also provides a foundation for future research to develop and refine MJM-  
23 guided titration strategies by determining key implementation parameters, including the  
24 monitoring window, the required number of nights, actionable thresholds, and response  
25 pattern-driven decision rules.

## 26 **CONCLUSION**

27 In conclusion, this study provides clinical evidence for a dose-response relationship  
28 between mandibular advancement and positional OSA, further clarifying the dynamic  
29 interplay between incremental mandibular advancement and posture-dependent UA  
30 collapsibility. This interaction is reflected by divergent response trajectories between supine  
31 and non-supine AHI across advancement levels. These findings underscore the value of

1 continuous home-based monitoring of position-specific AHI under naturalistic sleep conditions  
2 during MAD titration and support the integration of MJM-based monitoring into the  
3 conventional MAD therapy workflow. Such an approach is expected to shorten the time to  
4 optimal therapeutic mandibular position, enable earlier identification of treatment success or  
5 failure, and optimize MAD titration efficiency, ultimately contributing to better patient clinical  
6 outcomes.

7

Journal Pre-proof

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9

#### 10 **Data availability**

11 Data is not publicly available. However, de-identified data may be obtained from the  
12 corresponding author upon reasonable request, subject to a data-sharing agreement and  
13 approval by the relevant ethics committee.

## 1 TABLES

2

3 **Table 1:** Study population characteristics at baseline

Parameters (unit/scale)	Median [IQR] or Frequency (%) <sup>4</sup> (n=96)	
Age (year)	46.81 [39.75 – 52.65]	5
Male sex (n)	72 (75.0 %)	6
BMI (kg/m <sup>2</sup> )	27.17 [25.37 – 29.05]	
Neck circumference (cm)	40.00 [37.75 – 42.00]	
Maximal protrusion range (mm)	13.0 [11.0 – 14.0]	
ESS score (0-24)	10.0 [8.0 – 14.0]	9
<b>Diagnostic PSG indices</b>		
TST (hours)	7.07 [6.09 – 7.64]	
SEff (% recording time)	68.41 [57.27 – 77.40]	
AHI (n/h)	24.16 [18.61 – 34.62]	
Arl (n/h)	27.62 [21.55 – 37.06]	
ODI (n/h)	15.85 [6.79 – 27.48]	
<b>OSA severity</b>		
Mild (AHI 5 to <15/h)	13 (13.54 %)	
Moderate (AHI 15 to <30/h)	49 (51.04 %)	
Severe (AHI ≥30/h)	34 (35.42%)	
<b>Position-specific indices *</b>		
Supine sleep time proportion (%)	19.09 [6.81 – 37.90]	
nAH supine (n)	33.5 [0.0 – 69.5]	
nAH non-supine (n)	84.0 [44.0 – 125.75]	
Supine AHI (n/h)	20.29 [0.00 – 36.66]	
Non-supine AHI (n/h)	17.43 [9.81 – 23.94]	
Supine AH proportion (%)	30.29 [0.00 – 58.62]	
Supine/non-supine AHI ratio	1.47 [0.00 – 2.58]	
POSA prevalence	37 (38.54 %)	

10

11 Note: Numeric variables are presented as median [interquartile range]; categorical variables  
12 are presented as frequency (%). Abbreviations: AHI = apnea–hypopnea index; ArI = arousal  
13 index; BMI = body mass index; ESS = Epworth Sleepiness Scale; nAH = apnea/hypopnea event  
14 count; ODI = oxygen desaturation index; POSA = positional obstructive sleep apnea, defined as  
15 supine/non-supine AHI ratio ≥ 2; PSG = polysomnography ; SEff = sleep efficiency; TST = total  
16 sleep time. \*Position-specific indices were measured using mandibular jaw movement (MJM)-  
17 based analysis performed at home prior to MAD treatment. Supine and non-supine positions  
18 were determined by continuous tri-axial accelerometer monitoring.

1 **Table 2:** Average treatment effects of MAD on position-specific apnea-hypopnea indices

Outcomes	Contrast	Absolute change			Relative change (% of prior level)		
		Estimated	95% CI	p-value #	Estimated	95% CI	p-value #
<b>Supine AHI (n/h)</b>	IP – Base	-22.78	-28.46 ; -17.11	<0.0001	-60.06 %	-67.92 ; -52.21	<0.0001
	Intermediate – Base	-27.49	-32.86 ; -22.11	<0.0001	-72.47 %	-77.76 ; -67.17	<0.0001
	Final – Base	-29.75	-35.19 ; -24.31	<0.0001	-78.44 %	-82.68 ; -74.19	<0.0001
	Intermediate – IP	-4.70	-7.34 ; -2.07	0.0006	-31.06 %	-45.10 ; -17.01	<0.0001
	Final – IP	-6.97	-9.47 ; -4.47	<0.0001	-46.00 %	-57.04 ; -34.96	<0.0001
	Final – Intermediate	-2.26	-4.19 ; -0.34	0.0211 (NS)	-21.68 %	-37.61 ; -5.74	0.0077 (NS)
<b>Non-supine AHI (n/h)</b>	IP – Base	-10.99	-13.07 ; -8.91	<0.0001	-55.01 %	-61.11 ; -48.91	<0.0001
	Intermediate – Base	-12.43	-14.43 ; -10.42	<0.0001	-62.19 %	-67.22 ; -57.16	<0.0001
	Final – Base	-12.65	-14.66 ; -10.63	<0.0001	-63.29 %	-68.24 ; -58.34	<0.0001
	Intermediate – IP	-1.43	-2.57 ; -0.30	0.0162 (NS)	-15.96 %	-27.47 ; -4.45	0.0079 (NS)
	Final – IP	-1.65	-2.78 ; -0.53	0.006 (NS)	-18.40 %	-29.60 ; -7.20	0.0019
	Final – Intermediate	-0.22	-1.23 ; 0.80	0.6722 (NS)	-2.90 %	-16.14 ; 10.34	0.6675 (NS)
<b>Supine AH proportion (% of total AH events)</b>	IP – Base	-10.71	-16.60 ; -4.81	0.0007	-23.92 %	-35.63 ; -12.21	0.0001
	Intermediate – Base	-11.77	-17.48 ; -6.06	0.0002	-26.27 %	-37.37 ; -15.18	<0.0001
	Final – Base	-15.48	-21.27 ; -9.70	<0.0001	-34.43 %	-45.23 ; -23.64	<0.0001
	Intermediate – IP	-1.07	-6.92 ; 4.79	0.7209 (NS)	-3.12 %	-19.96 ; 13.71	0.716
	Final – IP	-4.78	-10.70 ; 1.14	0.114	-13.92 %	-29.93 ; 2.10	0.088
	Final – Intermediate	-3.71	-9.44 ; 2.02	0.204	-11.15 %	-27.44 ; 5.14	0.180
<b>Supine/non-supine AHI ratio</b>	IP – Base	-0.09	-0.66 ; 0.47	0.7466 (NS)	-3.55 %	-24.72 ; 17.63	0.7426 (NS)
	Intermediate – Base	-0.89	-1.36 ; -0.42	0.0005	-34.06 %	-48.12 ; -20.00	<0.0001
	Final – Base	-0.99	-1.45 ; -0.52	0.0002	-37.63 %	-51.15 ; -24.11	<0.0001
	Intermediate – IP	-0.80	-1.29 ; -0.30	0.0016	-31.64 %	-47.13 ; -16.15	<0.0001
	Final – IP	-0.89	-1.38 ; -0.40	0.0004	-35.33 %	-50.15 ; -20.51	<0.0001
	Final – Intermediate	-0.09	-0.47 ; 0.28	0.6256 (NS)	-5.40 %	-26.52 ; 15.71	0.7391 (NS)
<b>Supine sleep time proportion (%TST)</b>	IP – Base	-4.34	-7.54 ; -1.15	0.0466 (NS)	-19.87 %	-33.04 ; -6.70	0.0187 (NS)
	Intermediate – Base	-1.30	-4.53 ; 1.93	0.5164 (NS)	-6.02 %	-20.52 ; 8.48	0.4992 (NS)
	Final – Base	-3.32	-6.52 ; -0.13	0.1249 (NS)	-15.26 %	-28.80 ; -1.71	0.0818 (NS)

Intermediate – IP	3.04	-0.17 ; 6.26	0.1267 (NS)	17.39 %	-2.61 ; 37.40	0.1767 (NS)
Final – IP	1.02	-2.16 ; 4.20	0.5283 (NS)	5.79 %	-12.75 ; 24.33	0.5404 (NS)
Final – Intermediate	-2.02	-5.23 ; 1.19	0.3259 (NS)	-9.85 %	-24.69 ; 5.00	0.2905 (NS)

1

2 Note: The table reports the average absolute and relative changes in five targeted outcomes at a given MAD protrusion level compared with a preceding level  
3 during titration (IP = initial protrusion level). Estimates were obtained from regression models using the appropriate probability distributions law for each  
4 outcome (zero-adjusted gamma for supine/non-supine AHI and the positional AHI ratio; beta distribution for the proportion of supine AHI and supine sleep  
5 time proportion). For each pair of comparison, results are presented as average marginal effects with 95% confidence intervals. All models incorporated inverse  
6 probability weighting (IPW) to adjust for the effects of age, sex, BMI, MPR, and the time-varying confounding attributable to residual ESS, snoring, and adverse  
7 effects at each MAD protrusion level.

8 #: p values were derived from two-sided Wald tests assessing the null hypothesis of no change, with Benjamini–Hochberg adjustment for multiple comparisons  
9 and a significance threshold of 0.005.

10 Abbreviations: AH = apneas and hypopneas; AHI = apnea–hypopnea index; TST = total sleep time; NS = not significant.

1 **FIGURES TITLE AND LEGEND**

2 **Figure 1: Study flowchart**

3 Legend: MAD = mandibular advancement device; IP = initial protrusion level; T1 = intermediate level;  
4 T2 = final level; OSA = obstructive sleep apnea.

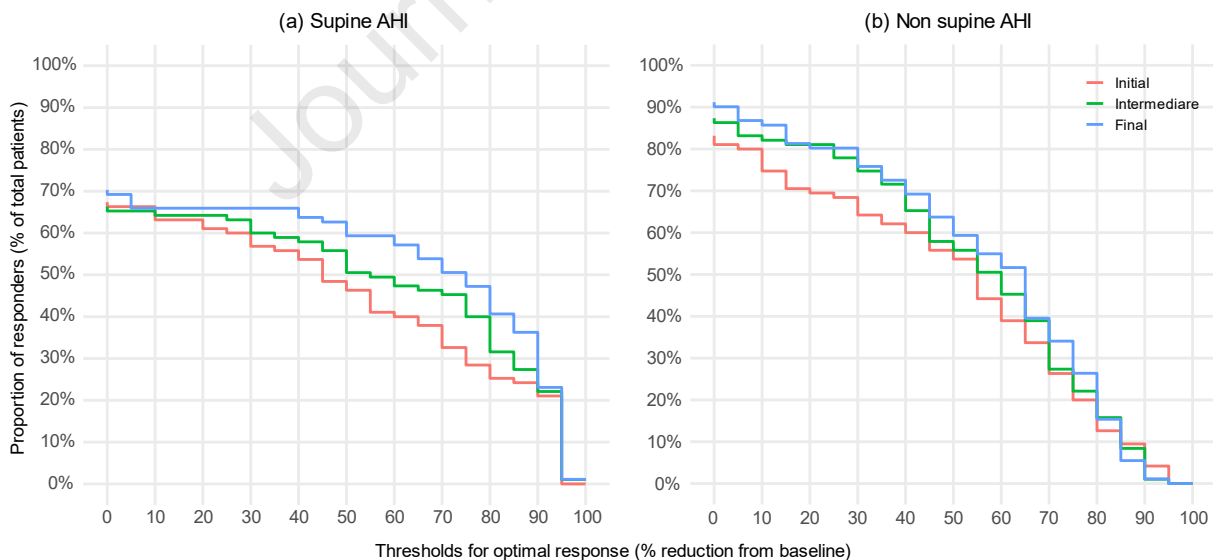
5 **Figure 2: Changes in position-specific apnea-hypopnea indices during MAD titration**

6 Legend: Upper panel: Two plots illustrate the changes in the distribution of supine AHI (a) and non-  
7 supine AHI (b) on the y-axis across four incremental levels of MAD protrusion on the x-axis. Each plot  
8 includes two layers: a background layer showing kernel density curves for the distribution of the  
9 outcome at each time point, and a foreground layer showing individual trajectories as connected  
10 points, with each dot representing an individual value. Larger dots indicate the median value at each  
11 time point, and the dashed line connecting them represents the population-level trend. Lower panel:  
12 Divergence between the supine and non-supine trajectories of dose-dependent AHI reduction relative  
13 to baseline. Supine is shown in red (lower curve) and non-supine in blue (upper curve). The x-axis  
14 indicates the three mandibular advancement levels; the y-axis shows the cumulative reduction for each  
15 outcome (as % of baseline). Circles and error bars indicate the mean effect and its 95% confidence  
16 interval, respectively.

17 **Figure 3: Changes in supine versus non-supine AHI ratio and proportion of non-supine residual apnea-  
18 hypopnea events**

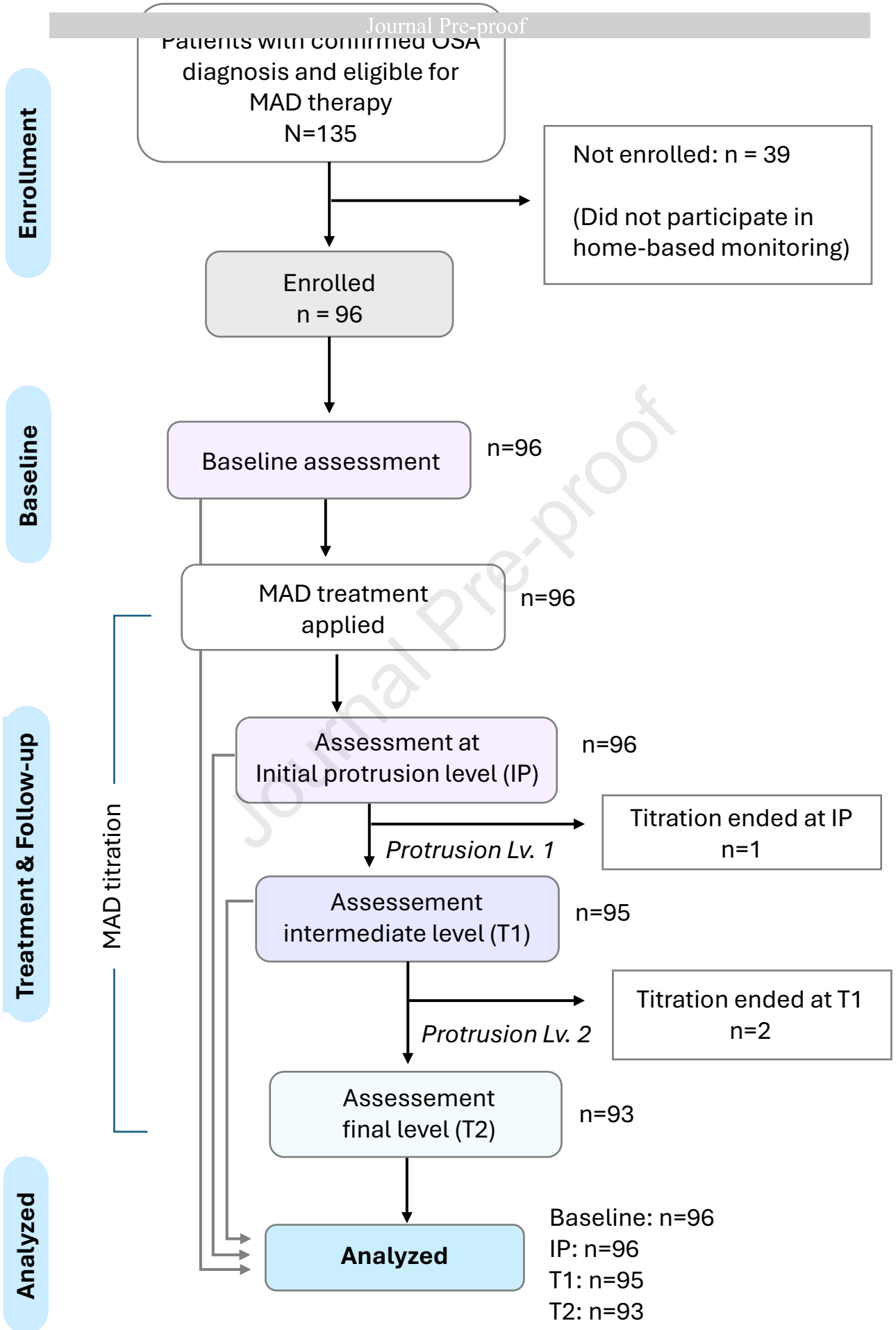
19 Legend: The figure illustrates changes in the distribution of: (a) the ratio of supine AHI/non supine AHI,  
20 and (b) the proportion of residual apnea and hypopnea events in non-supine position, across four time  
21 points during the MAD titration process (x-axis), with the same graphical structure as in Figure 2.

22 **Figure 4: Response rate across different relative change thresholds**

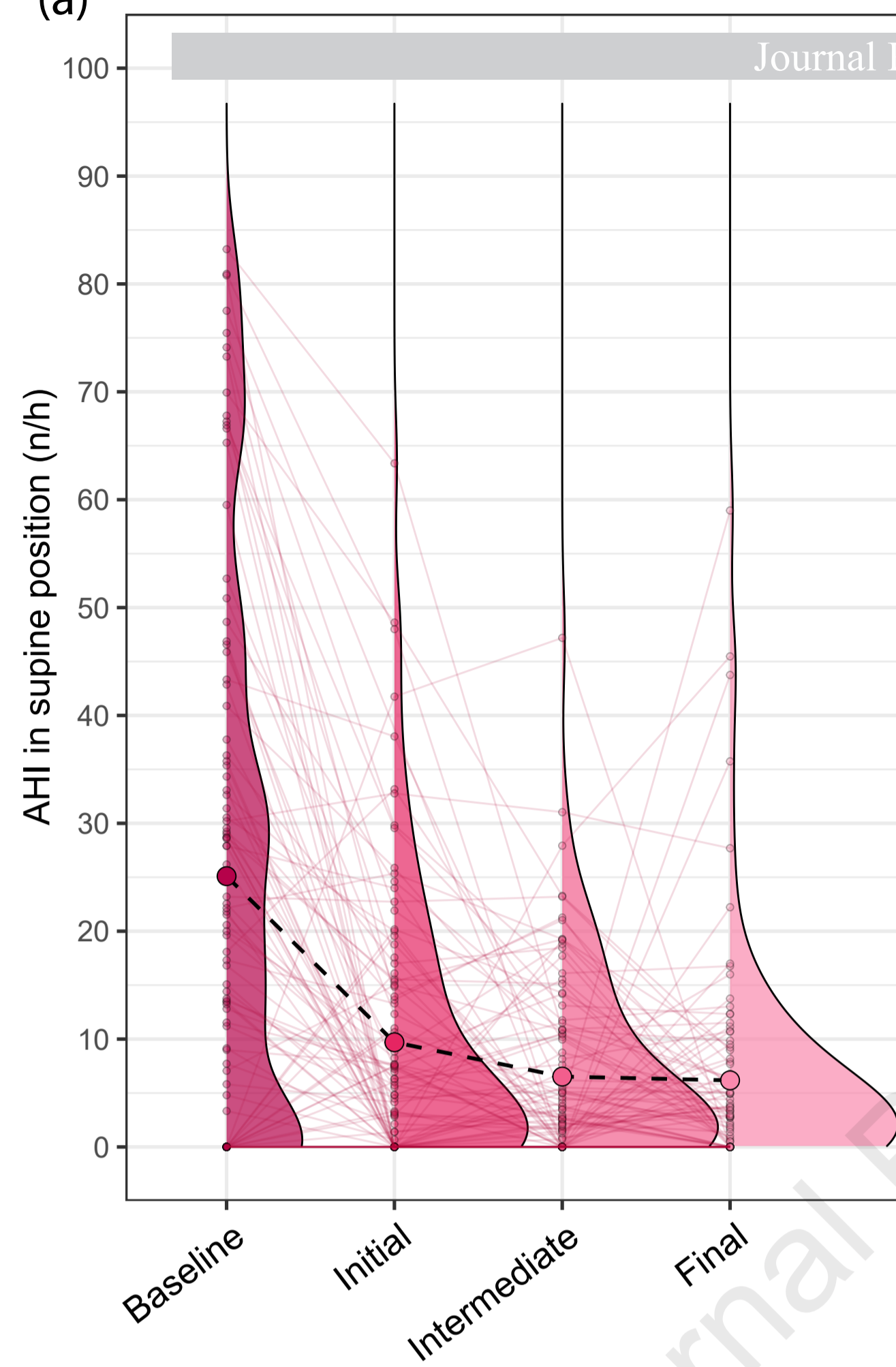


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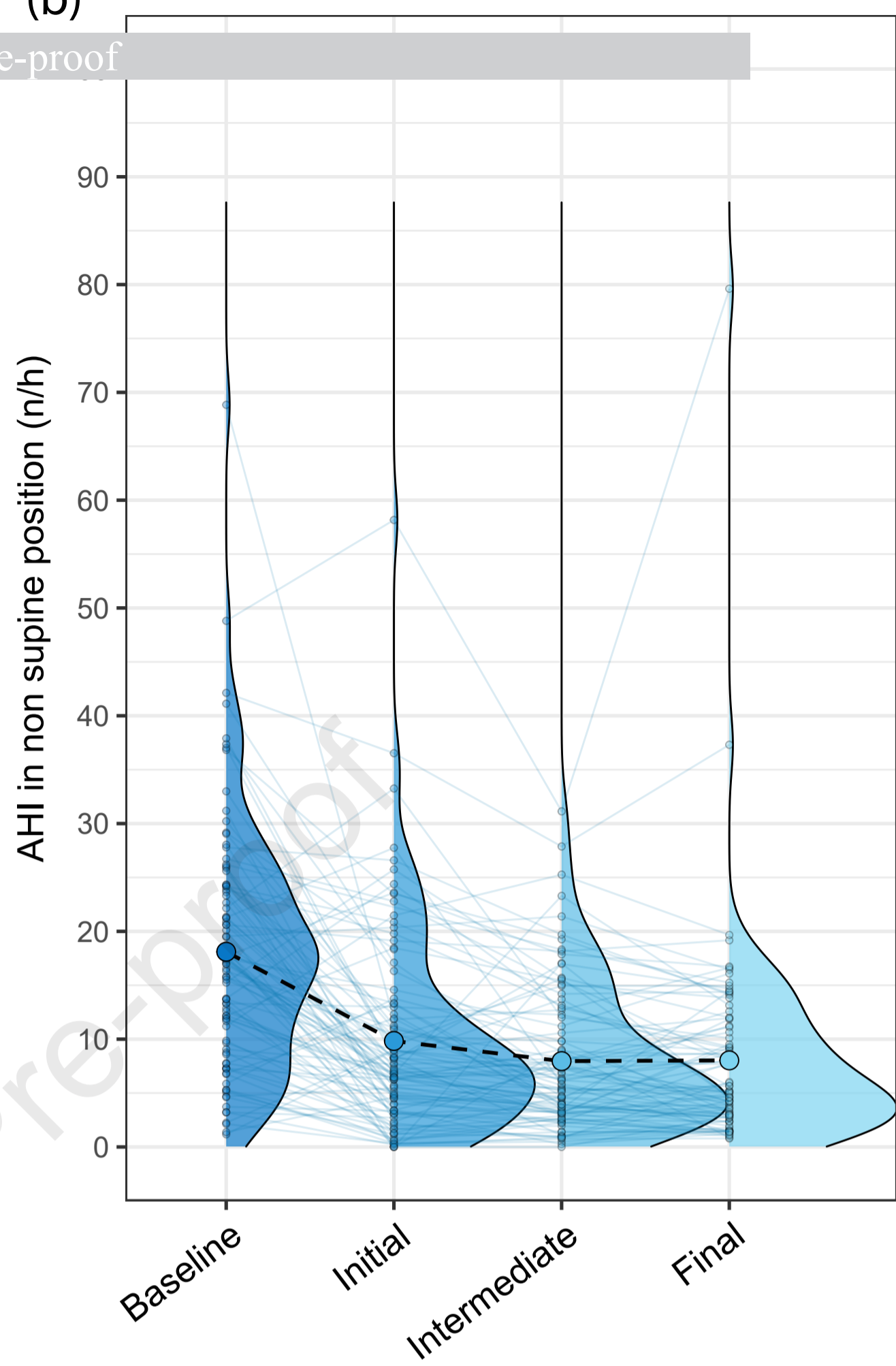
24 Legend: The curves show the proportion of patients (y-axis) meeting the response criterion at each  
25 prespecified relative-change threshold (x-axis) at 3 protrusion levels, for supine AHI (left) and non-  
26 supine AHI (right). For each threshold, responders were defined as patients with a relative change from  
27 baseline greater than the threshold.



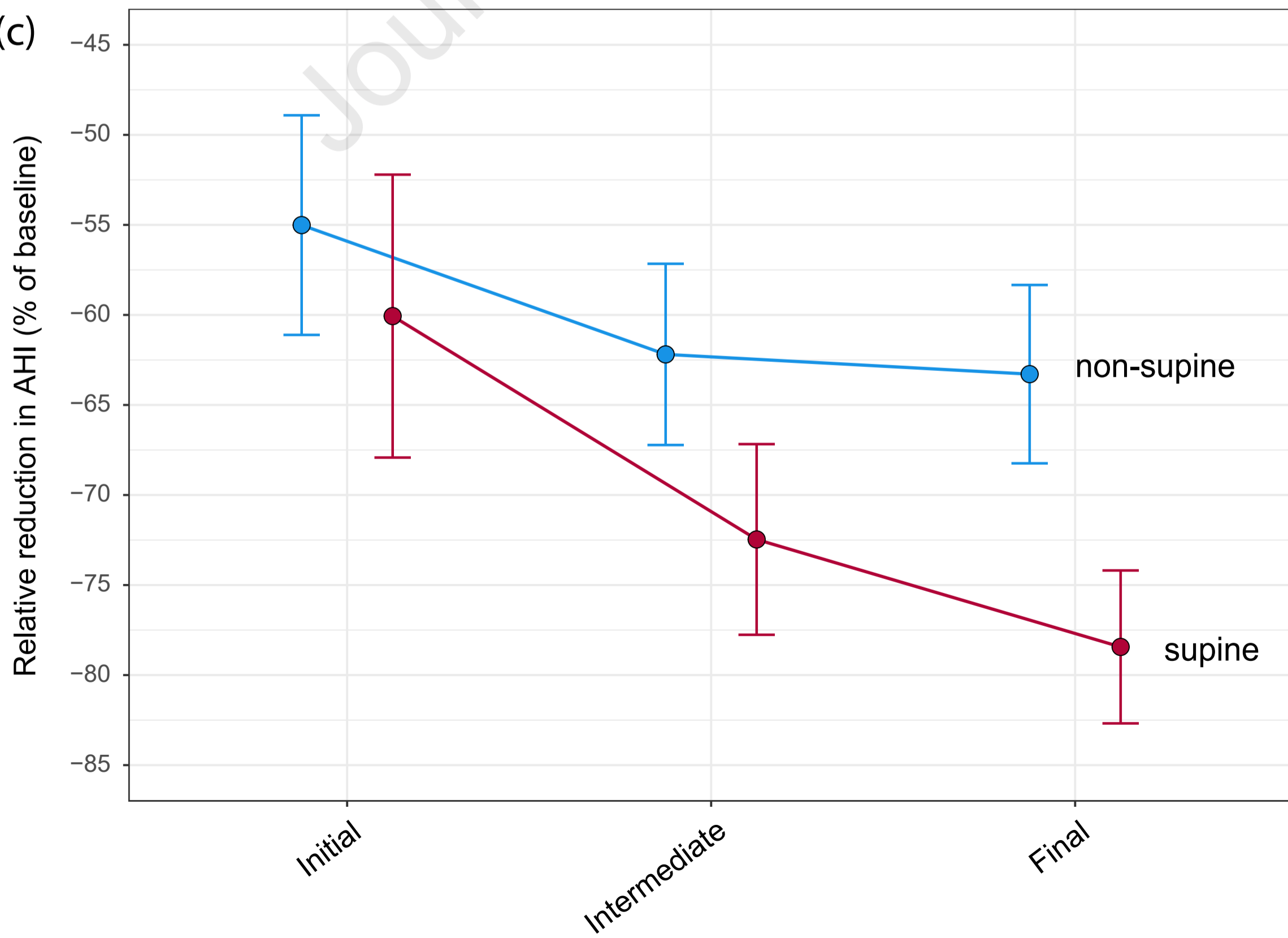
(a)

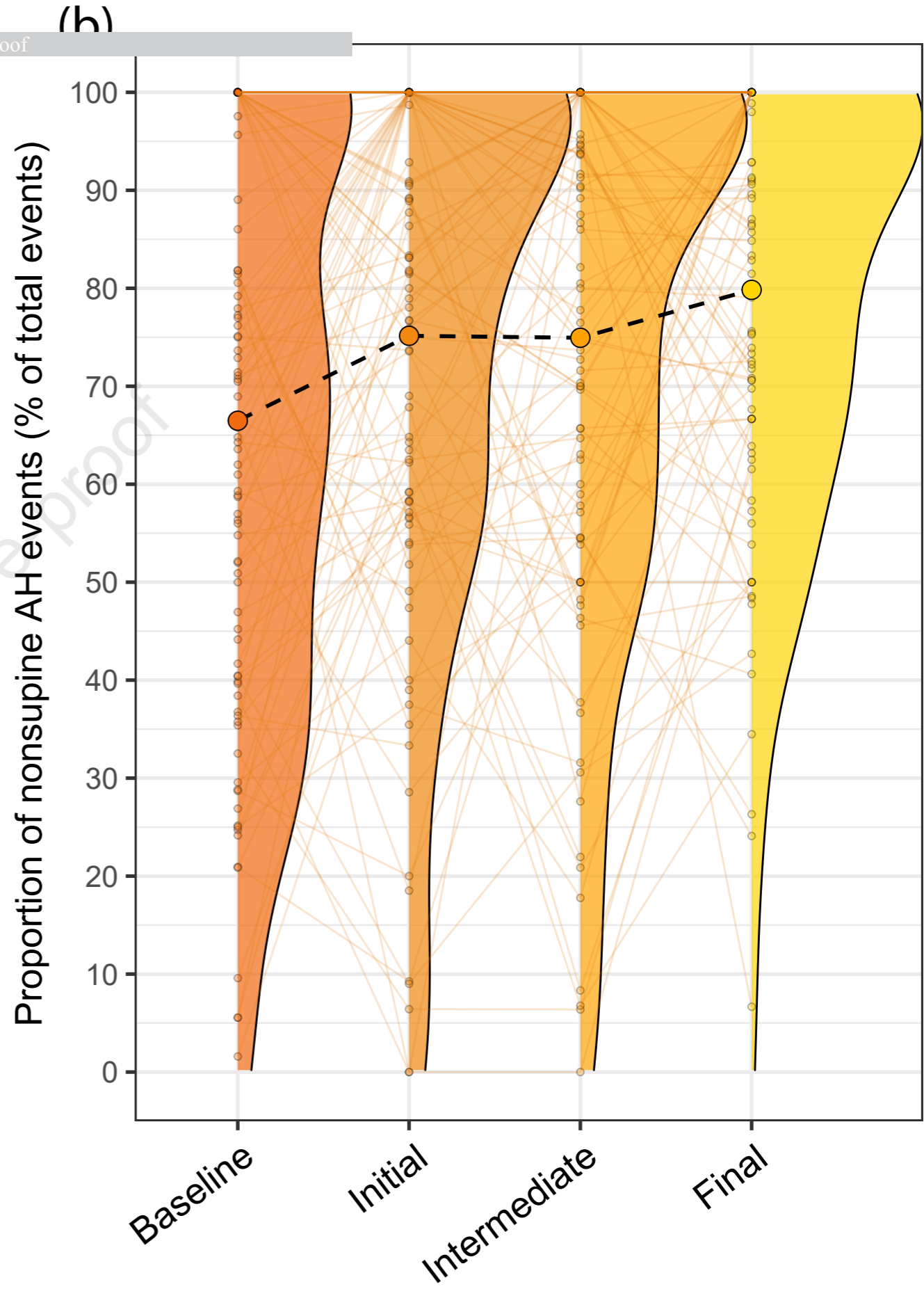
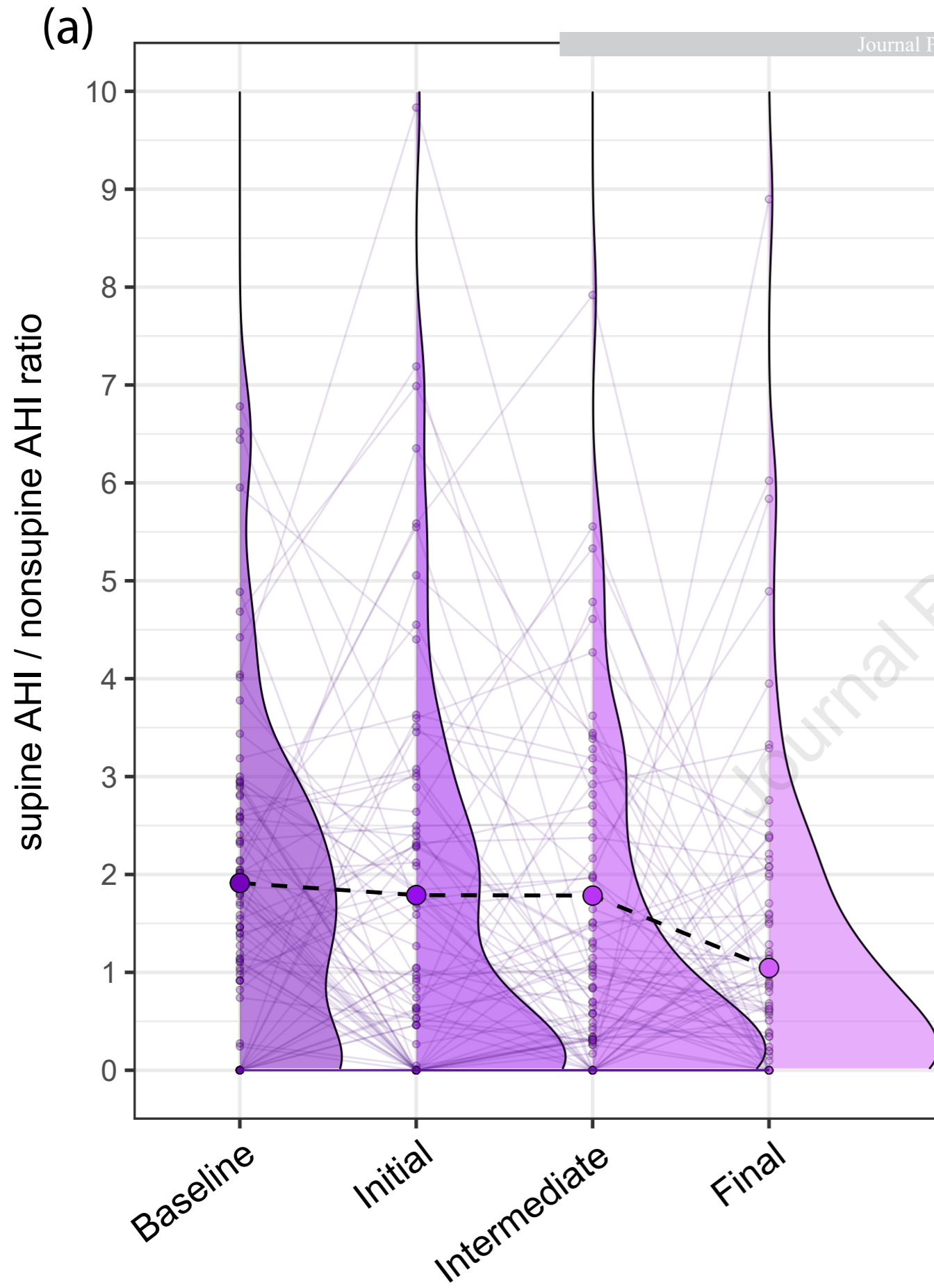


(b)



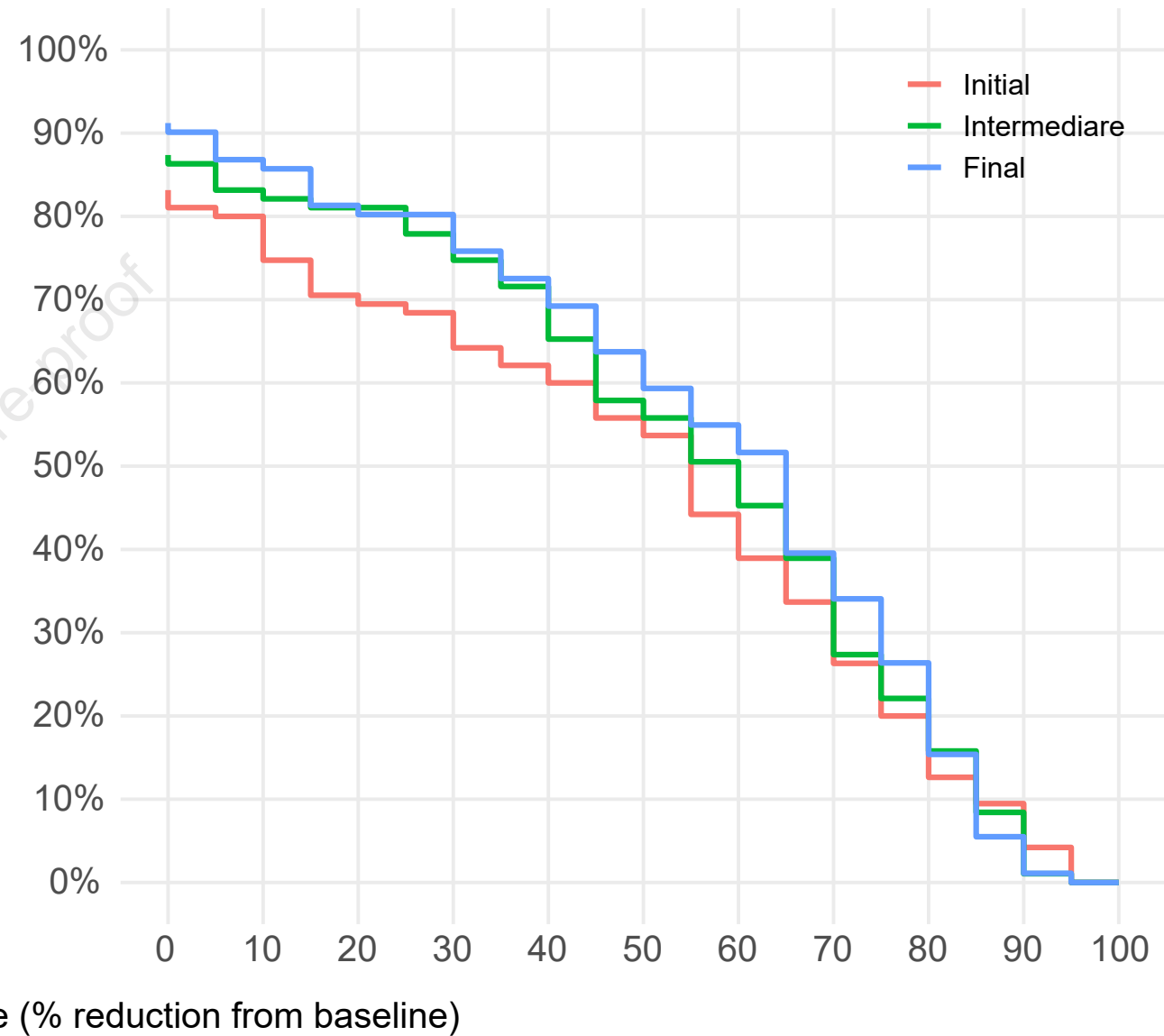
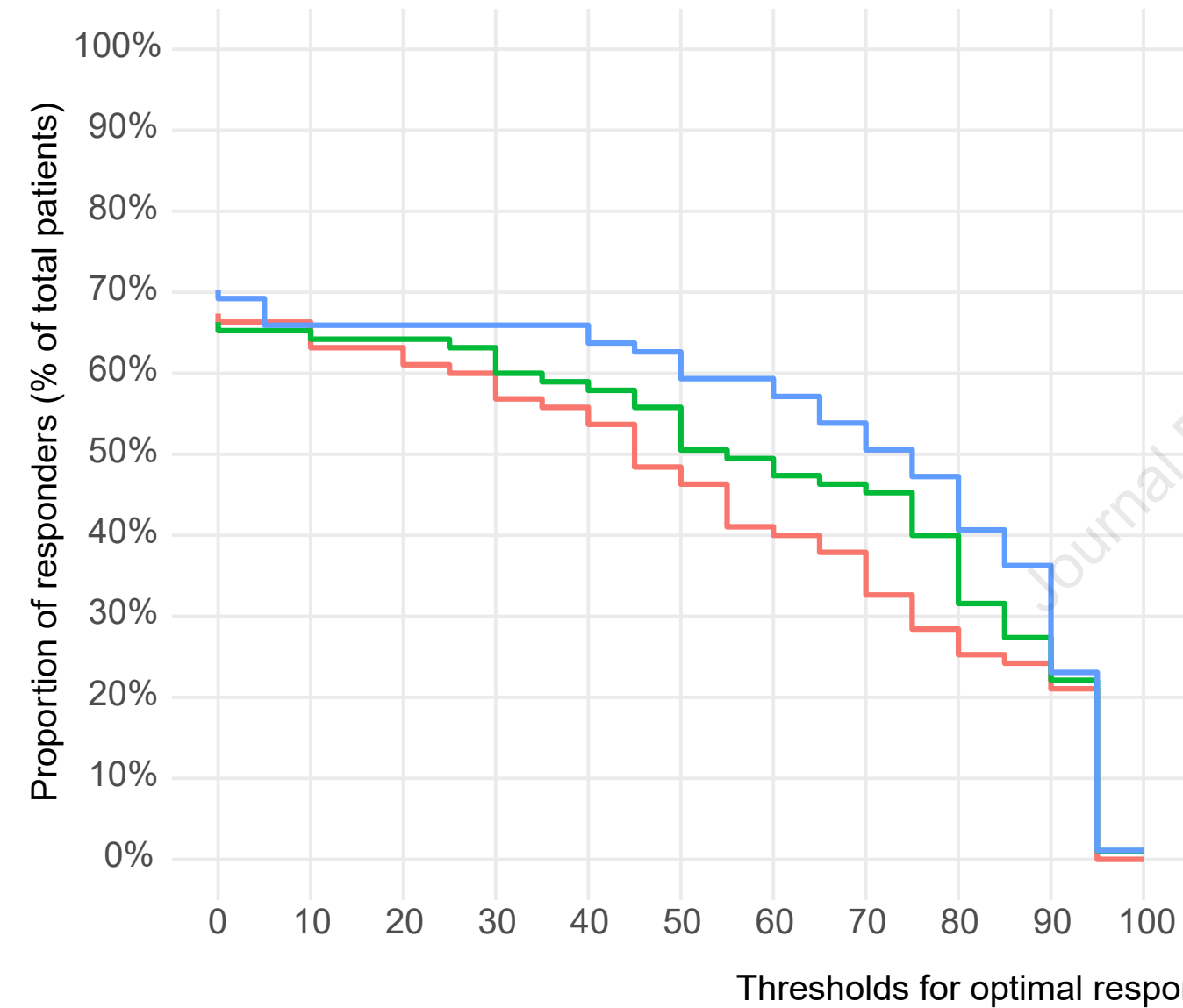
(c)





(a) Supine AHI

(b) Non supine AHI



Dose-response Relationship between Mandibular Advancement and OSA Burden:  
Dissociated Effects on Supine and Non-Supine AHI

**HIGHLIGHTS**

- MAD titration was associated with dose-dependent changes in position-specific OSA burden
- Early and meaningful improvement was observed for both supine and non-supine AHI
- Data revealed distinct trajectories of supine versus non-supine AHI responses
- MAD titration did not produce a clinically meaningful change in supine sleep exposure

## Declaration of Conflict of Interests Statement

For the manuscript '*Dose-response Relationship between Mandibular Advancement and OSA Burden: Dissociated Effects on Supine and Non-Supine AHI*'

### Conflicts of interest and Funding

JBM is a scientific advisor to Sunrise and has been an investigator in pharmaceutical trials for Jazz Pharmaceuticals and Takeda.

NNLD is an employee of Sunrise.

CC has no financial disclosure

DC has no financial disclosure.

FA has no financial disclosure.

PAC has an appointment to an endowed academic Chair at the University of Sydney that was created from ResMed funding. He receives no personal fees, and this relationship is managed by an Oversight Committee of the University. He has received research support from ResMed, SomnoMed, Nox Medical, and MyCardio. He is a consultant/adviser to ResMed, SomnoMed, Sunrise, and Eli Lilly.

JLP is supported by the French National Research Agency (ANR) in the framework of the "FRANCE 2030" program, the "e-health and integrated care" chair of Grenoble Alpes University Foundation, and the "Sleep Health-AI chair" within the "MIAI Cluster" for artificial intelligence (ANR-23-IACL-0006). He also reports income related to medical education from ResMed, Sefam, Zoll-Respicardia, Eli Lilly, Idorsia, Pharmanovia, Biosency, and Bioprojet.

**Declaration of interests**

The authors declare that they have no competing financial interests or personal relationships that could be perceived to have influenced the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

JBM is a scientific advisor to Sunrise and has been an investigator in pharmaceutical trials for Jazz Pharmaceuticals and Takeda.

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